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TC 1700

**PATENT** Attorney Docket No.: SSI-00700

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Maximilian A. Biberger et al.

Serial No.: 09/704,641

Filed: November 1, 2000

METHOD AND APPARATUS FOR For:

SUPERCRITICAL PROCESSING

OF A WORKPIECE

Assistant Commissioner for Patents Washington, D.C. 20231

Group Art Unit:

Examiner:

TRANSMITTAL LETTER

260 Sheridan Avenue, Suite 420 Palo Alto, California 94306

(650)833-0160

TC 1700

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

> Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: April 10, 2001

Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents Washington D.C 20231

PATENT Attorney Docket No.: SSI-00700

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The Application of:	) Group Art Unit:
Maximilian A. Biberger et al.	) Examiner:
Serial No.: 09/704,641	) TRANSMITTAL LETTER
Filed: November 1, 2000	) 260 Sheridan Avenue, Suite 420 ) Palo Alto, California 94306
For: METHOD AND APPARATUS FOR SUPERCRITICAL PROCESSING OF A WORKPIECE	) (650)833-0160 ) ) )
Assistant Commissioner for Patents Washington, D.C. 20231	

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449 (including copies of the references contained thereon), and Certification under 37 C.F.R. §1.97(e) for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

4-23-01 Dated:

Respectfully submitted, HAVERSTOCK & OWENS LLP

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

MILITARE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

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Attorney Docket No.: SSI-00700

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Applic	ation of:	Group Art Unit:	4112
Maximilian	A. Biberger, et al.	Examiner:	10(10(0)
Serial No.: (	<b>)</b>	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	MW
Filed: Nove	mber 1, 2000		
For: ME	THOD AND APPARATUS FOR )	260 Sheridan Avenue, Suite 420 Palo Alto, California 94306	

(650)833-0160

Assistant Commissioner for Patents

OF A WORKPIECE

Washington, D.C. 20231

SUPERCRITICAL PROCESSING

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,866,005;
- European Publication No. 0 836 895 A2;
- PCT Publication No. WO 99/49998; and
- "A Comparison of Chilled DI Water/Ozone and Co2-Based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents" by J.B. Rubin et al., Physical Organic Chemistry Group (CST-12) Chemical Science & Technology Division Los Alamos National Laboratory, Los Alamos, New Mexico.

Attorney Docket No.: PATENT SSI-00700

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 4-23-01

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

4/24/01 Cypithia L. Shaf

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Attorney Docket No.: SSI-00700

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Serial No.: 09/704,641

Filed: November 1, 2000

METHOD AND APPARATUS FOR For: SUPERCRITICAL PROCESSING

OF A WORKPIECE

Group Art Unit: Examiner:

**CERTIFICATION FOR** SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(e)

260 Sheridan Avenue, Suite 420 Palo Alto, California 94306

(650) 833-0160

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I hereby certify that the attached seven (4) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on February 1, 2001 Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

Attorney Docket No.: SSI-00700

## **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 4-23-01

Reg. No.: 32,571

Attorneys for Applicants

. WALL OF MAILING (37 CFR § 1.8(a

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HAVERSTOCK & OWENS LLP

4/24/01 - Cysthia L Gray

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Serial No O 17 The Applicat	ion of Maximilian P.	131001
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OCT 0 9 2002





Serial No.: 09/204,64/ In the Matter of The Applica	H&O File No.: 551	benea et al
In the Matter of The Applica	tion of Maximilian A. Pic	serger of w
Date Mailed: 4//0/0/	Due Da	316. ————————————————————————————————————
The following has been received in the	U.S. Patent and Trademark Office of	on the date stamped horson.
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Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,965,025
- U.S. Patent No. 5,888,050
- U.S. Patent No. 5,797,719
- U.S. Patent No. 5,730,874

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Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: April 10, 2001

Jonathan O. Owens

Attorneys for Applicants